

The Invest in Others Awards recognize the charitable work of financial advisors in communities across the country and around the world.

NOMINATIONS PROCESS

- Nominations are only accepted online via investinothers.org/awards beginning February 28, 2022.
- Nominations are a two-part process. The nomination form (part 1) asks for contact information to help us verify eligibility. If the nominee meets our eligibility criteria, they will be invited to complete the application (part 2) for the opportunity to be considered as a finalist.
- Anyone can submit a nomination. Advisors may also nominate themselves.
- There is no limit to the number of advisors one person can nominate. **However, multiple nominations per advisor will not increase their chances of being selected.**
- Nominators will be asked to create an account through the liO nominations portal. Account creation will allow for proper tracking as well as provide the nominator access to revisit or edit information before submitting. We encourage nominations to be submitted as soon as possible to ensure enough time to complete the application.
- Answers to [Frequently Asked Questions](#), including eligibility, can be found on our website.

ELIGIBILITY

- Nominees must be currently working as registered representatives (i.e. Series 7), registered investment advisors, **OR** financial planners with a professional certification (please refer to [FINRA's list of designations](#) for examples). Nominees must be active financial advisors, defined by spending at least 75% of their time providing financial consultation with clients (households or organizations). Nominees must have worked in this capacity for at least three years. Volunteer involvement with the nonprofit organization must be current and ongoing for at least three years.
- Nonprofits must be U.S.-based organizations and educational institutions with tax-exempt status granted under the Internal Revenue Code Section 501(c)(3). Nonprofits doing work in other countries are eligible as long as they meet these criteria.
- Advisors who have previously recognized as a finalist or winner can be nominated after seven years from the award date for the same charity. There is no limit to the number of times an advisor may be nominated if they have not previously been selected as an award finalist or winner.

INFORMATION REQUIRED TO SUBMIT A NOMINATION

- The following information is required to submit a nomination:
 - Advisor (Nominee) Contact Information
 - First Name and Last Name
 - Company Name
 - Company Address, City, State & Zip
 - Telephone Number
 - E-mail
 - FINRA or IAPD # (for the individual advisor, not the firm)
 - Broker-Dealer or Custodian
 - Nominator Information
 - First Name and Last Name
 - Telephone Number
 - Email
 - Relationship to advisor
 - Nonprofit Information
 - Name of nonprofit organization
 - Tax ID Number (can be found at charitynavigator.org)
 - Nonprofit Contact Name and Email
- Nominators will also be asked if they plan to complete the detailed application (part 2) or if the advisor will be completing the detailed application.

DATES AND DEADLINES

- April 1: Nomination deadline
- April 22: Application deadline
- July 11: Finalists announced
- Sept. 29: Winners announced at liO Awards Gala

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