

2024 Invest in Others Award Nomination (Part 1)

Welcome

Welcome to nominations for the 2024 Invest in Others Awards!

Please use nomination form found at www.investinothers.org/awards to nominate a financial advisor for their charitable work with **one** eligible nonprofit. **Nominations submitted via email will not be considered.**

Nominations are a two part process. Once this form is submitted, we will review the nomination to ensure the advisor and the nonprofit meet our [eligibility criteria](#). Once this is verified, a detailed application will be sent to the advisor to be completed in order for them to be considered as a finalist.

Complete your nomination **as soon as possible** to ensure that there is enough time for the financial advisor to complete the application.

Please note that multiple nominations will NOT increase an advisor's chance of being selected as a finalist.

For information about the liO Awards, please visit our [website](#).

The following are some helpful tips to get started:

- Before filling out the nomination, you may find it helpful to click the "Printer Friendly Version" button above. This will offer you a preview of all of the questions on the form.
- Please save your work frequently as the system will time out after 60 minutes. You can save and return to the nomination form by clicking "Save" or "Save & Close". **If the system times out, any unsaved work will be lost.**
- You may wish to utilize a word-processing tool (i.e. Microsoft Word) to complete the form. Please cut and paste your response(s) into this nomination form as Word Doc submissions will not be accepted.
- Please limit your use of bullets and segmented formatting.
- **When clicking the "Review & Submit" button, you will be required to review the entire nomination one final time before clicking the "Submit Application" button at the bottom of the screen.**
- **Once the nomination form has been submitted, you will no longer be able to edit it.**

*Please note: Due to an overwhelming response for nominations for this year's liO Awards, once a nomination is submitted, it may take **up to three business days** for liO to conduct the eligibility check and invite the advisor to apply. **Nominations are still due no later than March 29th.***

Advisor's Information

Please save your work frequently. The system will time out after 60 minutes.

Nominee Eligibility

In order to successfully submit a nomination for a financial advisor please make sure that they meet the following eligibility criteria.

A nominee must currently work as one of the following:

- registered representatives** (i.e. Series 7) *or*
- registered investment advisors** *or*
- financial advisors with a professional certification** (please refer to [FINRA's list of designations](#) for examples).

A nominee must:

- Have worked for **at least three years** in the above capacity
- Be an **active financial advisor**: *defined by spending at least 75% of their time providing financial consultation with clients (households or organizations).*
- Have **at least three years to date** of active and on-going volunteer involvement with the nonprofit organization.

For more information regarding a nominee's eligibility, please visit our [FAQs: Who is eligible for nomination?](#)

Financial Advisor's Information

Please provide the following information about the **financial advisor** that you're nominating for the award, they will be referred to as the *nominee*.

Note that you can save and return to the nomination form if you don't have this information readily available.

Nominee First Name Nominee Middle Name/Initial

Nominee Last Name

Nominee Full Name (as it should appear in print)

Job Title

Company Name

Address 1

City State

ZIP Code

Telephone Number

Nominee E-mail

Nominee LinkedIn URL

Is the nominee an active financial advisor?

Defined as spending at least 75% of the time providing financial consultation with clients. The nominee must be a registered representative (i.e. Series 7), registered investment advisor, or a financial advisor with a professional certification (i.e. CFP, CFA, CIMA, CPWA or RMA). More details regarding eligibility can be found here: [Advisor Eligibility](#)

Has the nominee been an active financial advisor for at least 3 years?

Defined as spending at least 75% of the time providing financial consultation with clients. The nominee must be a registered representative (i.e. Series 7), registered investment advisor, or a financial advisor with a professional certification (i.e. CFP, CFA, CIMA, CPWA, RMA, CLU, ChFC).

Has the nominee been involved with the designated nonprofit for at least 3 years?

Volunteer involvement with the nonprofit organization must be current and ongoing for at least three years.

Does the advisor have a FINRA OR SEC #?

This is for the individual advisor, NOT the firm.

FINRA or SEC #

You can request this from the financial advisor or find it on [FINRA](#) or [SEC](#)

Please list the advisor's professional certifications, if any.
For example: CFP, CFA, CIMA, CPWA, RMA, CLU, ChFC, etc.

Advisor's Primary Broker-Dealer or Custodian:

The financial advisor should request this information from their firm, if not known. If the advisor works for an RIA, please provide the firm's primary custodian/clearing firm(s).

Other Broker-Dealer or Custodian

If you selected "Other" above, please specify.

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Nominator's Information

Please save your work frequently. The system will time out after 60 minutes.

Nominator Information

First Name

Last Name

Nominator Full Name

This will be shared with the nominee.

Job Title

Company Name

Nominator Telephone Number

Nominator E-mail

Relationship to Advisor

If you selected "Other," please specify:

How did you hear about the Invest in Others Awards?

Please provide the name of the company/organization/person that told you about the Invest in Others Awards.

And if you selected "Other," please specify:

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Nonprofit Information

Please save your work frequently. The system will time out after 60 minutes.

Nonprofit Organization Eligibility

In order to successfully submit a nomination for a financial advisor please make sure that the nonprofit organization meets the following eligibility criteria.

A Nonprofit Organizations must:

- Have tax exempt status granted under the **Internal Revenue Code Section 501(c)(3)** (please refer to the [IRS Tax Exempt Organization search](#) to confirm the status).
- Currently have **good standing with the IRS**
- Have been Granted 501(c)(3) status at least **three years prior** to the current Invest in Others Award year. *Nonprofits that were granted 501(c)(3) status in 2021 and prior are eligible for the 2024 Invest in Others Awards.*

Please note: Nonprofits doing work in other countries are eligible as long as they meet the criteria listed above.

For more information regarding nonprofit organization eligibility please visit our [FAQs: What nonprofits are eligible?](#)

Nonprofit Information

This organization will receive the award donation if the advisor is selected as an award finalist or winner. *Note that you can save and return to the nomination form if you don't have this information readily available.*

Name of Nonprofit Organization

Address

City State

ZIP Code

Nonprofit Website

Please use this format: www.investinothers.org

Tax ID Number

Can be found at charitynavigator.org

Only qualified U.S.-based nonprofit organizations and educational institutions with tax-exempt status granted under the Internal Revenue Code Section **501(c)(3)** are eligible to receive award donations.

Nonprofit Contact

First Name

Last Name

Job Title

Nonprofit Telephone Number

Nonprofit Contact Email

Please save your work frequently. The system will time out after 60 minutes.

Once this form is submitted, we will review the nomination to ensure the advisor and the nonprofit meet our [eligibility criteria](#).

After Invest in Others confirms eligibility, an email to access the application will be sent to the nominated advisor.

Please note: Due to an overwhelming response for nominations for this year's liO Awards, once a

*nomination is submitted, it may take **up to three business days** for IIO to conduct the eligibility check and invite the advisor to apply. **Nominations are due no later than March 29th.***

Reference Document